



PTO/SB/01A (10-00).
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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| | |
|---------------------------|---|
| Title of Invention | REGULATION OF AMYLOID PRECURSOR PROTEIN EXPRESSION BY MODIFICATION OF ABC TRANSPORTER EXPRESSION OR ACTIVITY |
|---------------------------|---|

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/072,621, filed on February 8, 2002,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Peter B. Reiner Citizen of: US

Signature: Date: 11 APRIL 2002

Inventor two: Bruce P. Connop Citizen of: Canada

Signature: Date: April 4, 2002

Inventor three: Michelle Pollard Citizen of: Canada

Signature: Date: April 4, 2002

Inventor four: _____ Citizen of: _____

Signature: _____ Date: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.



Please type a plus sign (+) inside this box → +

EXPRESS MAIL NO. EV064838769US

PTO/SB/81 (10-00)
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| | | |
|---|-------------------------------|------------------|
| ELECTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT | Application Number | 10/072,621 |
| | Filing Date | February 8, 2002 |
| | First Named Inventor | Peter B. Reiner |
| | Group Art Unit | |
| | Examiner Name | |
| | Attorney Docket Number | 100103.402 |

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

| | | | | | |
|--|--|-------|--|-----|--|
| <input type="checkbox"/> Firm or Individual Name | | | | | |
| Address | | | | | |
| Address | | | | | |
| City | | State | | ZIP | |
| Country | | | | | |
| Telephone | | Fax | | | |

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor

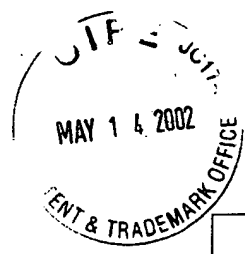
SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|-----------------|
| Name | Peter B. Reiner |
| Signature | |
| Date | 11 APRIL 2002 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.





STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Peter B. Reiner et al.

Application No./Patent No.: 10/072,621 Filed/Issue Date: February 8, 2002

Entitled: REGULATION OF AMYLOID PRECURSOR PROTEIN EXPRESSION BY
MODIFICATION OF ABC TRANSPORTER EXPRESSION OR ACTIVITY

Active Pass Pharmaceuticals, Inc.

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11 APRIL 2002
Date

Peter B. Reiner

Typed or printed name

Signature

President and CEO

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No. 100103.402

244407 [09-14-01]

COPY**ASSIGNMENT**

WHEREAS, we, Peter B. Reiner, Bruce P. Connop, and Michelle Pollard (hereinafter referred to as ASSIGNORS), having post office addresses of 305-1750 West Second Avenue, Vancouver, British Columbia, Canada V6J 1H6; 316-2678 West Broadway, Vancouver, British Columbia, Canada V6K 2G3; and 301-225 West 10th Avenue, Vancouver, British Columbia, Canada V5Y 1R9, respectively, are the joint inventors of an invention entitled "REGULATION OF AMYLOID PRECURSOR PROTEIN EXPRESSION BY MODIFICATION OF ABC TRANSPORTER EXPRESSION OR FUNCTION," as described and claimed in the specification for which an application was filed on February 8, 2002 and assigned Application No. 10/072,621. This application claims the benefit of Provisional Application Nos. 60/267,975, filed on February 9, 2001 and 60/309,256, filed July 31, 2001.

WHEREAS, Active Pass Pharmaceuticals, Inc. (hereinafter referred to as ASSIGNEE), a corporation of the Country of Canada, having a place of business at 520 West Sixth Avenue, Suite 400, Vancouver, British Columbia, Canada V5Z 4H5, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

11 APRIL 2002
Date

Peter B. Reiner
Peter B. Reiner

Province of British Columbia)
Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Peter B. Reiner is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 11 April 2002

Signature of Notary Public [Signature]

Printed Name Jennifer Brown

My appointment expires December 31, 2002

April 4, 2002
Date

Bruce P. Connop
Bruce P. Connop

Province of British Columbia)
Country of Canada)

ss.

I certify that I know or have satisfactory evidence that Bruce P. Connop is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated APRIL 4, 2002

Signature of Notary Public [Signature]

Printed Name GERRIT J. TE HENNEPE

My appointment expires does not expire

GERRIT J. TE HENNEPE
NOTARY PUBLIC
203-4545 WEST 10TH AVENUE
VANCOUVER, B.C. V6R 4N2

April 4, 2002
Date

Michelle Pollard
Michelle Pollard

Province of British Columbia)
Country of Canada) ss.

I certify that I know or have satisfactory evidence that Michelle Pollard is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated APRIL 4, 2002

Signature of
Notary Public Gerrit J. Te Hennepe

Printed Name GERRIT J. TE HENNEPE
NOTARY PUBLIC

My appointment expires does not expire
203 - 4545 WEST 10TH AVENUE
VANCOUVER, B.C. V6R 4N2

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